

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Chua et al.

Serial No.:

Examiner:

Filing Date:

Group Art Unit:

Title: DEVICE AND METHOD FOR EMITTING OUTPUT LIGHT USING GROUP IIB ELEMENT  
SELENIDE-BASED AND GROUP IIA ELEMENT GALLIUM SULFIDE-BASED PHOSPHOR  
MATERIALS

COMMISSIONER FOR PATENTS  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before  
mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 Processing fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
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☒ Applicant(s) submit herewith Form PTO 1449. References identified with an asterisk (\*) were  
disclosed in Patent Application No. \_\_\_\_\_ filed \_\_\_\_\_, now U. S. Patent No.  
\_\_\_\_\_, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR  
1.98(d).

☐ A concise explanation of the relevance of foreign language patents, foreign language publications  
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By

Thomas H. Ham

Typed Name: Thomas H. Ham

Respectfully submitted,

Chua et al.

By

Thomas H. Ham

Thomas H. Ham

Attorney/Agent for Applicant(s)

Reg. No. **43,654**

Date: **01/21/2004**

Form PTO-1449

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

(Use several sheets if necessary)

ATTORNEY DOCKET NO.

70040066-1

SERIAL NO.

APPLICANT

Chua et al.

FILING DATE

GROUP

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
		6,351,069	February 26, 2002	Lowery et al.

## FOREIGN PATENT DOCUMENT

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION	
					YES	NO

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)


EXAMINER

DATE CONSIDERED

\* Copies of these references are not enclosed Pursuant to 37 CFR 1.98(d). (See accompanying IDS)